

Application of a Quasioptical code PARADE: modeling of ECRH, ECCD and ECE diagnostics in toroidal fusion devices

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PARADE for ECRH, ECCD, and ECE modeling

Various improvements on ECRH, ECCD, and ECE modeling by Quasioptical code PARADE with relativistic effects in JT-60SA and JA-DEMO are presented today.

In order to predict EC applications in reasonable costs with high accuracy, We applied,

- ⟨1⟩ **Quasioptical beam** model for ECRH (**NOT geometrical rays**)
- ⟨2⟩ Adjoint technique for ECCD calculation
- ⟨3⟩ **Quasioptical beam** model for ECE (**NOT geometrical rays**)
- ⟨4⟩ **Fully relativistic dispersion** for absorption
(**NOT weakly relativistic coefficient in quasi-perp. limit**)
- ⟨5⟩ **Weakly relativistic dispersion** for propagation
(**NOT cold dispersion**)

ECRH&CD&E code w/ multi-rays and relativity has been already proposed, but quasioptical one is not reported before.

Topic 1 <ECRH>

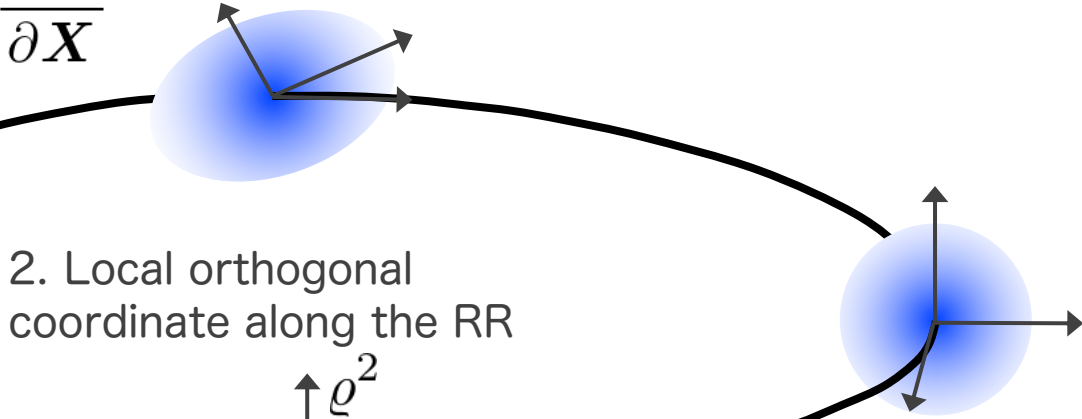
Power deposition w/ Quasioptical beam model

Quasioptical ray tracing code PARADE [1-4]

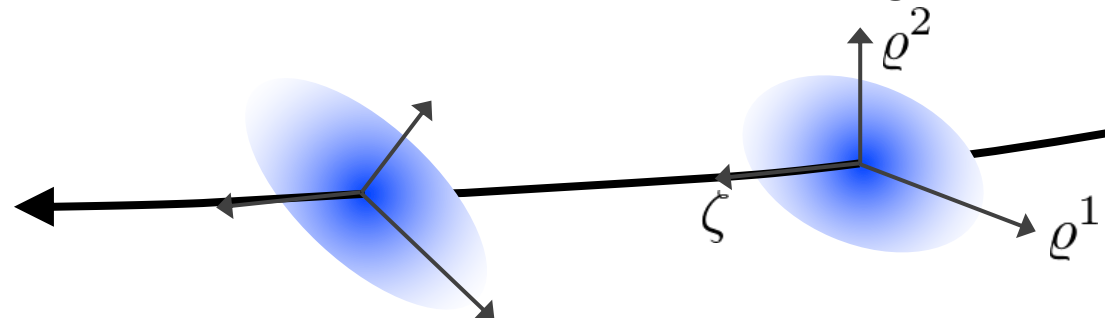
Schematic image of calculations implemented in PARADE.

$$\frac{d\mathbf{X}}{d\zeta} = \frac{1}{V_\star} \frac{\partial H_\star}{\partial \mathbf{K}}, \quad \frac{d\mathbf{K}}{d\zeta} = -\frac{1}{V_\star} \frac{\partial H_\star}{\partial \mathbf{X}}$$

1. Bending Reference Ray (RR) trajectory (\mathbf{X}, \mathbf{K}) with **refraction**



2. Local orthogonal coordinate along the RR



3. Arbitrary complex amplitude profile $\phi(\tilde{\varrho}^\sigma)$ with **diffraction, dissipation, and mode conversion**

$$\frac{\partial \phi}{\partial \zeta} = \frac{1}{V_\star} \left[-i(\tilde{L}_{\star\sigma\bar{\sigma}} \tilde{\varrho}^\sigma \tilde{\varrho}^{\bar{\sigma}} - U_\star) + \frac{i}{2} \tilde{\Phi}_\star^{\sigma\bar{\sigma}} \partial_{\sigma\bar{\sigma}}^2 + \Gamma - \tilde{\vartheta}_{\star\bar{\sigma}}^\sigma \tilde{\varrho}^{\bar{\sigma}} \partial_\sigma - \frac{\tilde{\vartheta}_{\star\sigma}^{\bar{\sigma}}}{2} \right] \phi$$

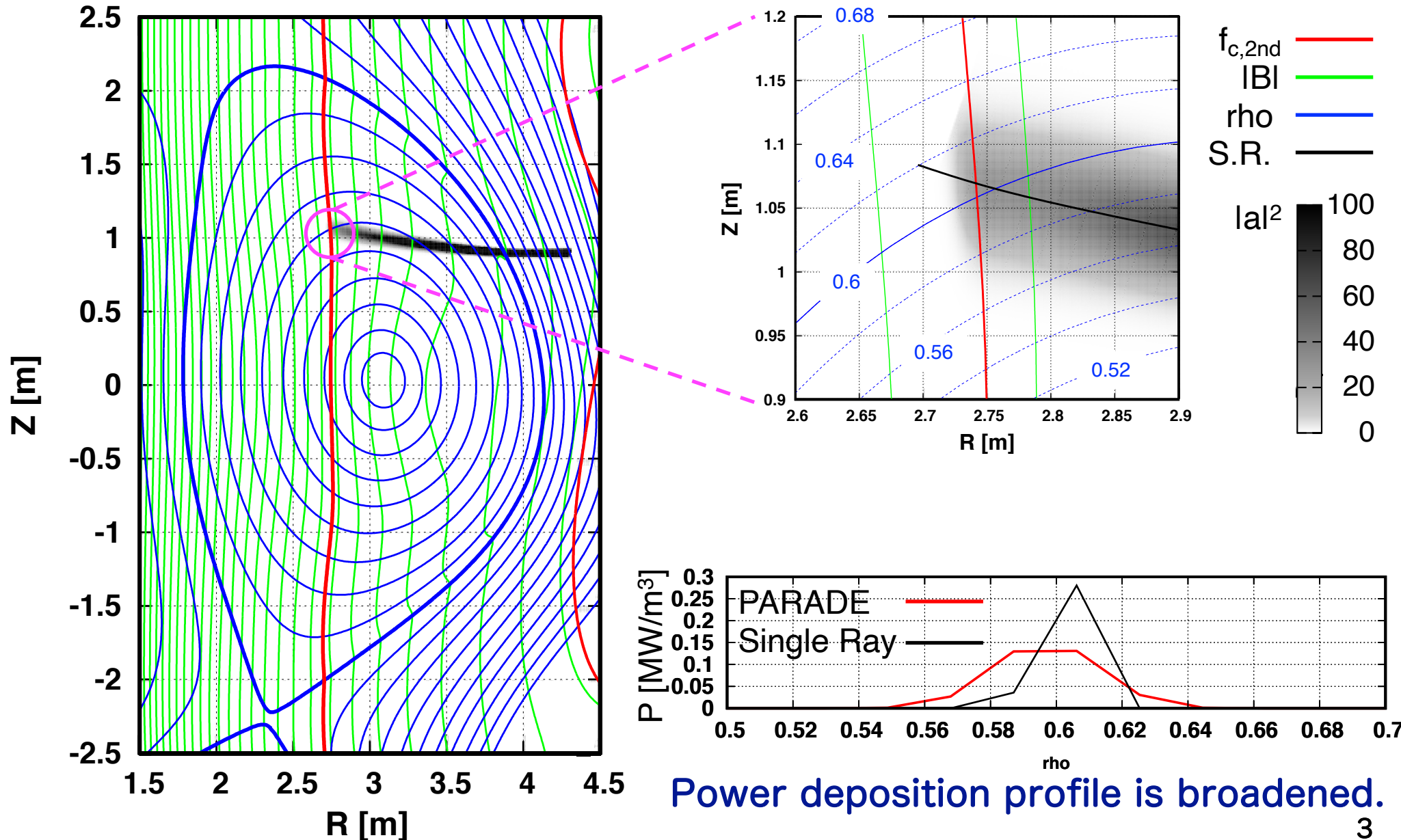
PARADE traces wave beam with its arbitrary quasioptical envelope in inhomogeneous anisotropic media.

[1] I. Y. Dodin *et al.*, Phys. Plasmas (2019)
 [2] K. Yanagihara *et al.*, Phys. Plasmas (2019a)

[3] K. Yanagihara *et al.*, Phys. Plasmas (2019b)
 [4] K. Yanagihara *et al.*, Phys. Plasmas (2021)

Quasioptical width broadens power deposition

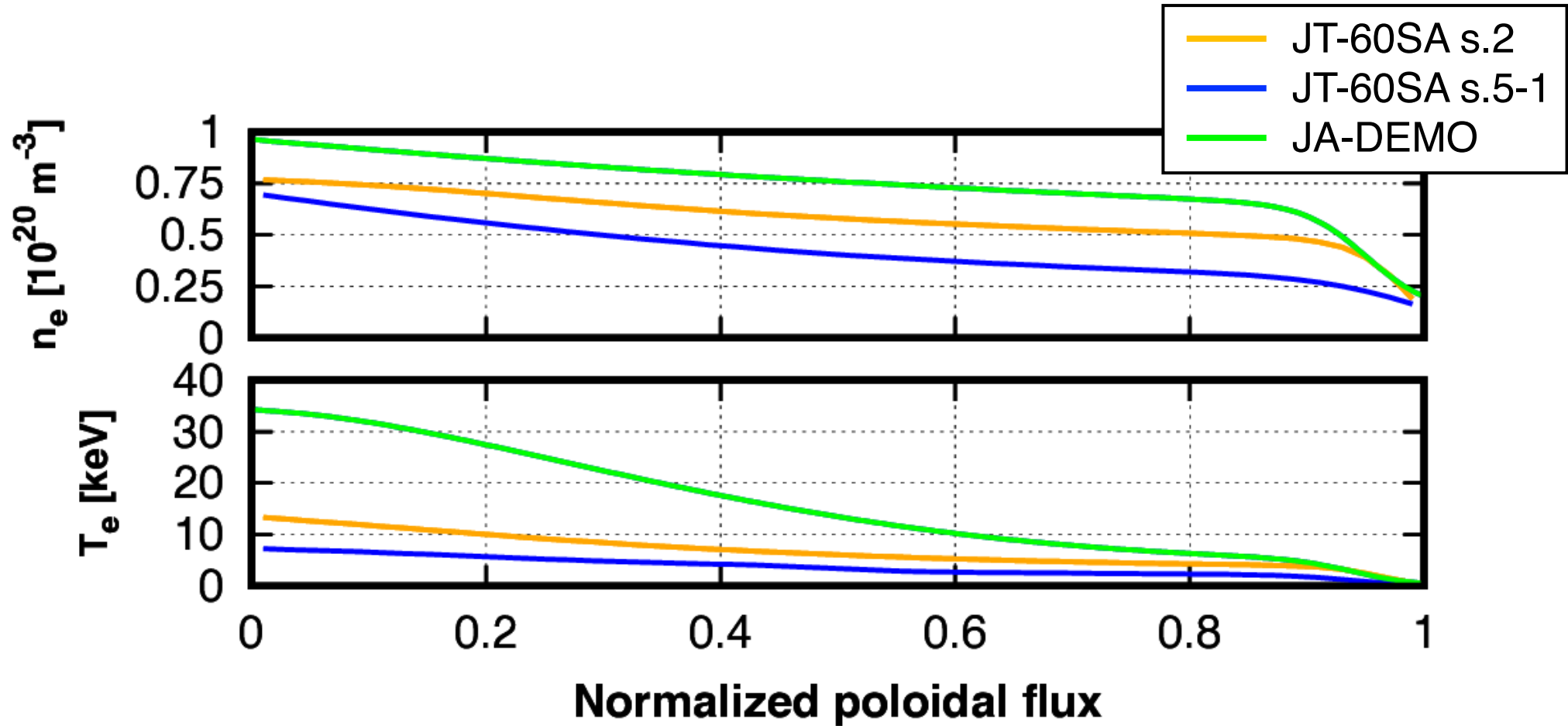
Prediction for JT-60SA scenario 2. 138 GHz X mode (θ_t, θ_p) = (0,0).



Power deposition profile is broadened.

Electron density and temperature profile

Typical operation scenario 2 & 5-1 of JT-60SA, and JA-DEMO.



These profiles are used for PARADE simulations in this presentation.

Topic 2 <ECCD>

Current drive module based on Adjoint technique

Driven current predictions for tokamak applications

Adjoint technique [5,6] for reasonable simulation

[5] M. Taguchi, Plasma Phys. Controlled Fusion (1989)

[6] N. B. Marushchenko *et al.*, Phys. Plasmas (2011)

$$\langle J_{\parallel} \rangle = \frac{ev_{\text{th}}}{\nu_{e0}} \frac{\langle b \rangle}{\langle b^2 \rangle} \left\langle \int du^3 \frac{\partial \chi}{\partial u} \mathbf{\Gamma}_{\text{rf}} \right\rangle : \text{current model same with [6]}$$

$\langle \cdot \cdot \cdot \rangle$: flux surface average $u = v\gamma$

ν_{e0} : collisional frequency $b = |B|/|B_{\text{max}}|$

χ : **Spitzer function**

-> Corresponds to distribution function in weak E-field.

Can be determined immediately, since not to depend on waves.

$\mathbf{\Gamma}_{\text{rf}} = -D_{\text{rf}} \cdot \nabla f_m$: Quasi-linear diffusion term

-> **spatial profile is Improved by PARADE**

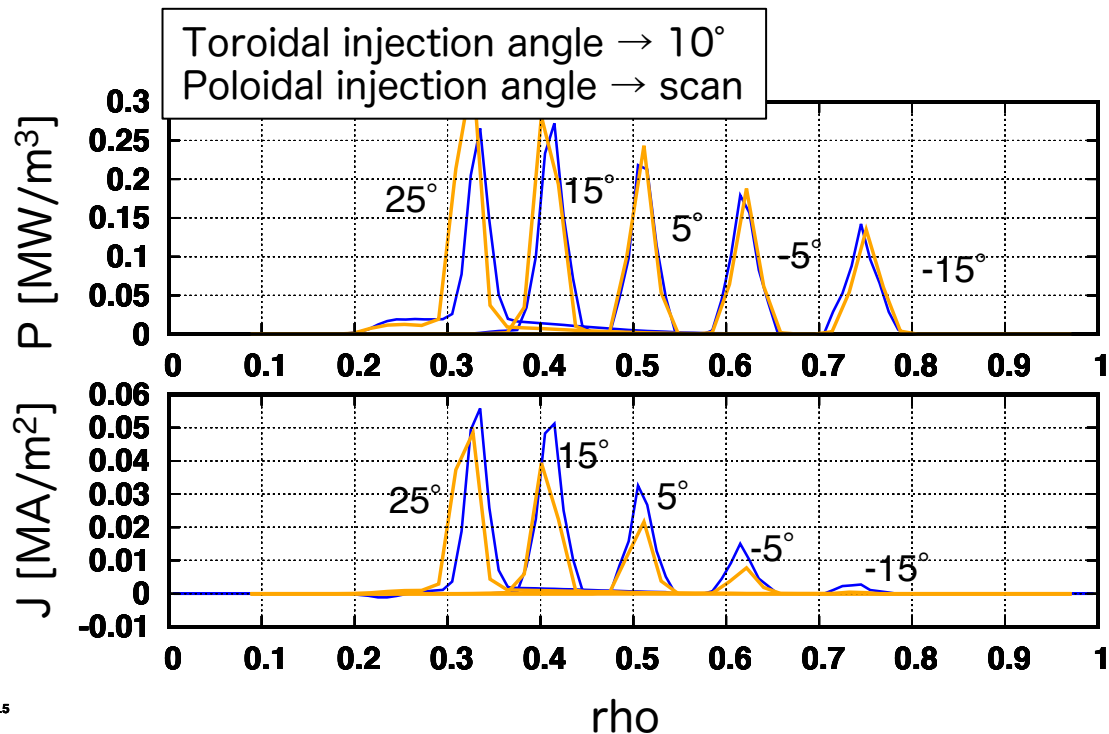
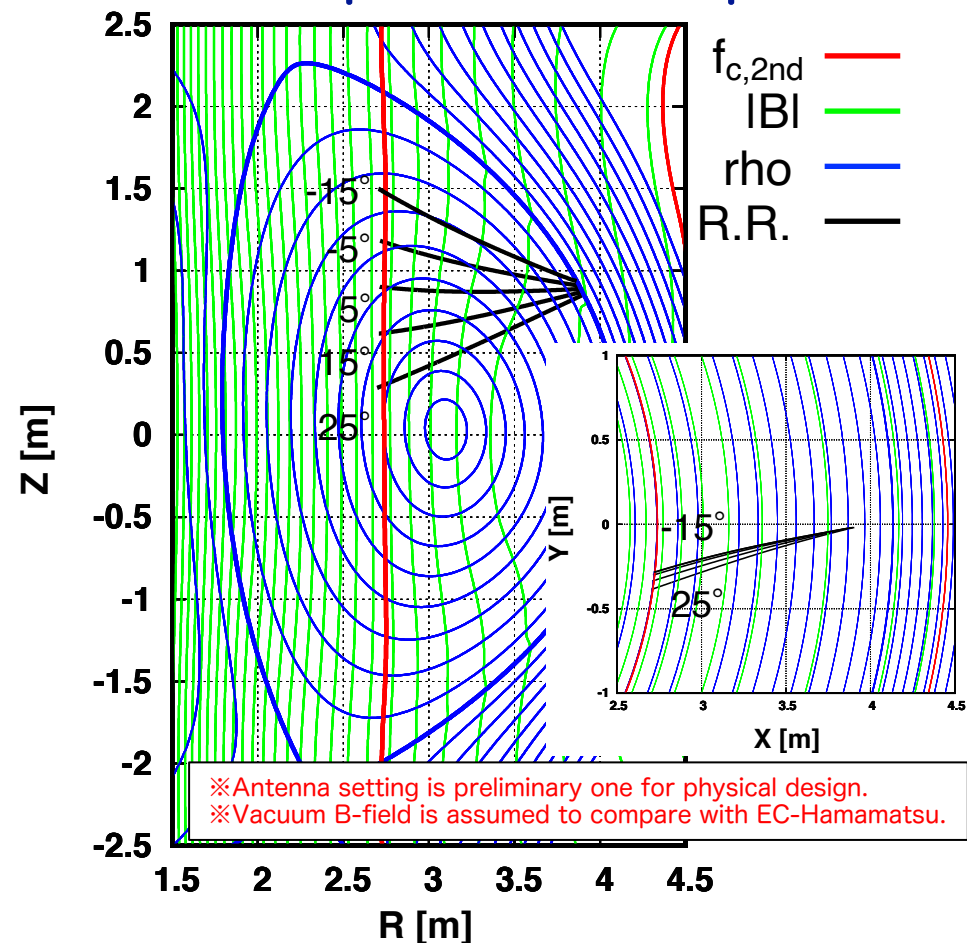
Widely used due to reasonable computational cost. Namely, evaluate driven current, using **Spitzer function** instead of a distribution function.

Model equations are same with [6], but **spatial profiles of wave vector and amplitude are improved** by quasioptical analysis of PARADE.

(u-space 3D integral is reduced to 1D problem as shown in appendix.)

Comparison w/ Multi-ray model used in JT-60SA

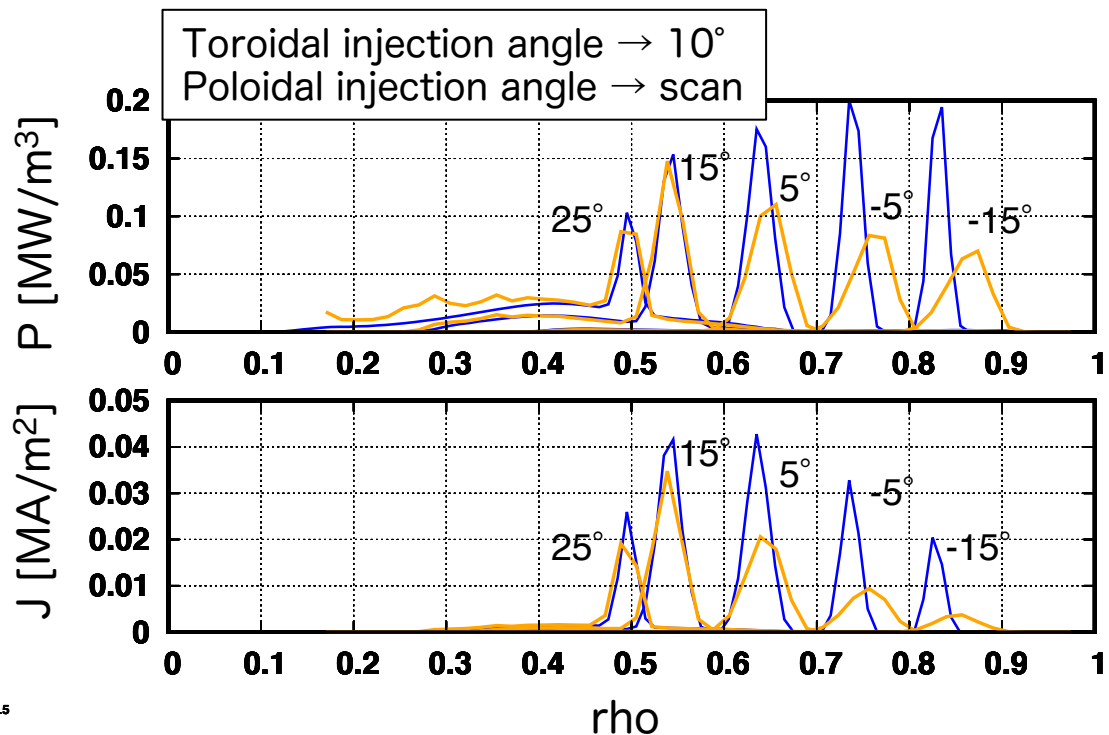
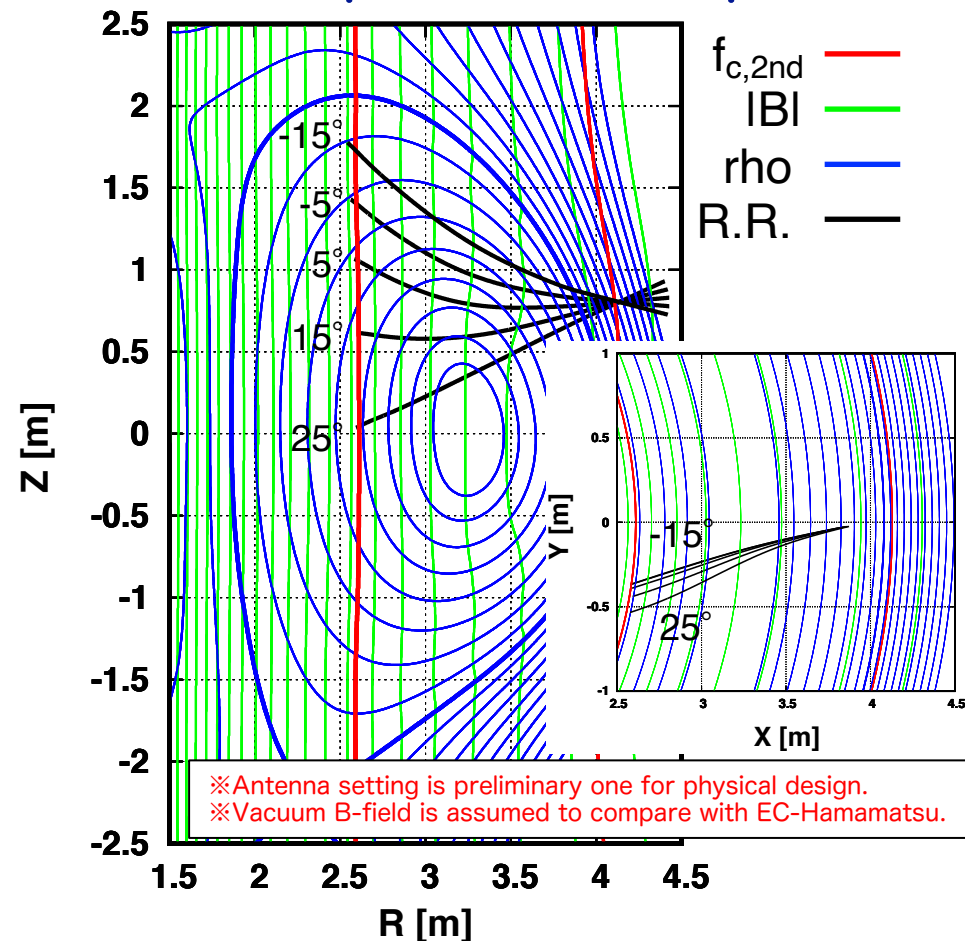
Power depo. & current profile in JT-60SA scinario2. 138 GHz X mode.



De-focusing beam w/ long path \rightarrow Multi-rays are asymptotic to quasioptical beam.
 10-15 keV and deep toroidal injection angle \rightarrow difference bet. $D_{WR,A}$ and $D_{FR,A}$ is small.
 In such a proper situation, reasonable results are obtained.

Comparison w/ Multi-ray model used in JT-60SA

Power depo. & current profile in JT-60SA scenario5-1. 110 GHz X mode.



PARADE : Orange lines
 -> Quasioptical-beam model / $D_{\text{Cold,H}}$ / $D_{\text{FR,A}}$
 Multi-ray (EC-Hamamatsu) : Blue lines
 -> Multi-ray model / $D_{\text{cold,H}}$ / $D_{\text{WR,A}}$

Dissipation-Diffraction interaction, in other words, Absorption-Propagation interaction (see [4,7, next slide]) makes **broadening** of power deposition & driven current profile. Conventional ray tracing and most quasioptical codes cannot account this effect.

[4] K. Yanagihara *et al.*, Phys. Plasmas (2021)

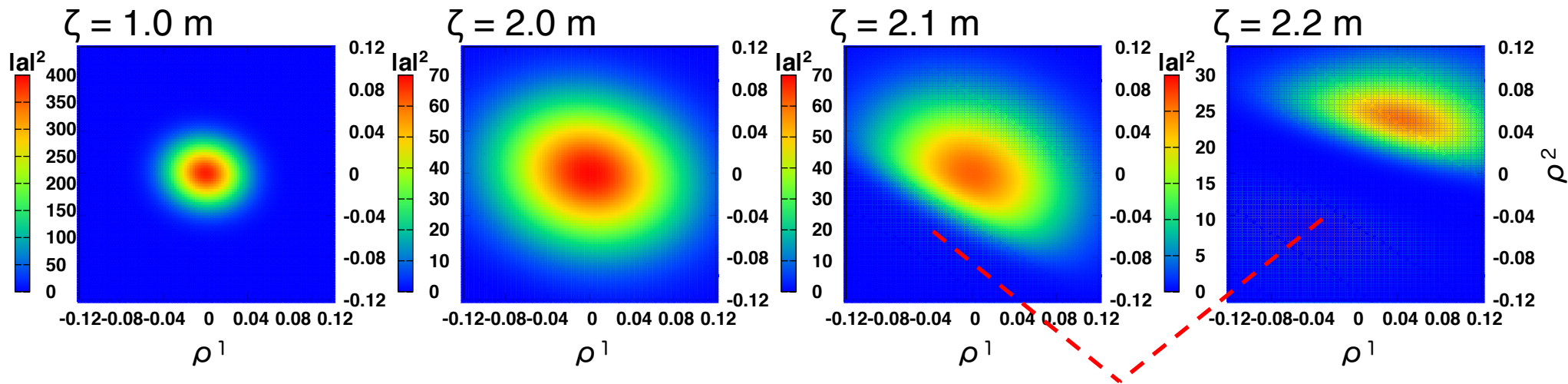
[7] K. Yanagihara *et al.*, Nucl. Fusion (2021)

Dissipation-Diffraction Interaction

Intensity profile on beam cross sections along the R.R.

in JT-60SA scenario5-1. 110 GHz X mode $(\theta_t, \theta_p) = (10^\circ, -15^\circ)$.

NOTE THAT, Reference Ray (RR) is on $(\rho^1, \rho^2) = (0.0, 0.0)$.



2nd harm. resonant dissipation.

Beam power is **dissipated non-uniformly** due to inhomogeneous structure of 2nd harm. resonance. Then, beam profile is **shifted from the RR position**, $(\rho^1, \rho^2) = (0.0, 0.0)$, and broadened due to diffraction.

Topic 3 <ECE>

Electron cyclotron emission module with quasioptical model

EC emission model (1)

Radiation transfer equation, conventionally used on a geometrical optics, is modified to suit for quasioptical system.

Let us start from the conservation law of the wave action flux (or Poynting flux)

$$\frac{d}{d\zeta} \int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2) = \frac{2}{V_\star} \int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) \Gamma(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2)$$

where $\phi^+ \phi = V_\star(\zeta) a^+ a$

It can be rewritten to simple form as,

$$\frac{dP(\zeta)}{d\zeta} = \frac{2}{V_\star} \widehat{W}[\Gamma](\zeta) P(\zeta)$$

$$\text{where } P(\zeta) = \int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2)$$

$$\widehat{W}[f](\zeta) = \frac{\int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) f(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2)}{\int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2)},$$

EC emission model (2)

Radiation transfer equation, conventionally used on a geometrical optics, is modified to suit for quasioptical system.

Introduce the source term \mathfrak{B} to consider the radiation as,

$$\frac{dP(\zeta)}{d\zeta} = \mathfrak{B}(\zeta) - \mathfrak{A}(\zeta) P(\zeta)$$

$$\text{where } \mathfrak{A}(\zeta) = -\frac{2}{V_{\star}} \widehat{W}[\Gamma](\zeta)$$

$$\mathfrak{B}(\zeta) = \widehat{W}[\beta](\zeta) = \frac{\int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) \beta(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2)}{\int d^2 \varrho \phi^+(\zeta, \varrho^1, \varrho^2) \phi(\zeta, \varrho^1, \varrho^2)}$$

This representation is quite similar with a conventional model,

$$N_r^2 \frac{d}{d\zeta} \left(\frac{I(\zeta)}{N_r^2} \right) = \beta(\zeta) - \alpha(\zeta) I(\zeta)$$

Hence, we can follow a derivation of it, and obtain the modified equation that,

$$P(\zeta) = \int d\zeta' \mathfrak{B}(\zeta') e^{-\tau(\zeta, \zeta')} \quad \text{where } \tau(\zeta) = \int_0^{\zeta} d\zeta' \mathfrak{A}(\zeta')$$

$$T_{\text{rad}} = \frac{8\pi^3 c^2}{\omega^2} P$$

Topic 4 $\langle D_A \rangle$

Fully relativistic dispersion for Anti-Hermitian part : absorption

Numerical integrated D_A for any (=fully relativistic) f_0

Summarized from classic hot dispersion tensor [8,9] as,

[8] K. Yanagihara, Nucl. Fusion (2022)

[9] M. Bornatici *et al.*, Phys. Plasmas (1994)

$$D_A = \frac{\varepsilon_a}{\varepsilon_0} = -\pi X \sum_{n=-\infty}^{\infty} \int \frac{du^3}{\gamma} \delta(R_n) \mathbf{V}_n \mathbf{V}_n^* \mathbf{G} \cdot \nabla f_0$$

$$\mathbf{V}_n = u_{\perp} \begin{pmatrix} \frac{1}{2} [J_{n-1}(b) + J_{n+1}(b)] \\ \frac{i}{2} [J_{n-1}(b) - J_{n+1}(b)] \\ \frac{u_{\parallel}}{u_{\perp}} J_n(b) \end{pmatrix} \quad R_n = nY + N_{\parallel} \frac{u_{\parallel}}{c} - \gamma$$

$$\mathbf{G} \cdot \nabla = \frac{1}{u_{\perp}} \left(\gamma - N_{\parallel} \frac{u_{\parallel}}{c}, N_{\parallel} \frac{u_{\perp}}{c} \right) \cdot \begin{pmatrix} \partial_{\perp} \\ \partial_{\parallel} \end{pmatrix} \quad b = \frac{N_{\perp}}{Y} \frac{u_{\perp}}{c}$$

$$\int \frac{du^3}{\gamma} = 2\pi \int u_{\perp} du_{\perp} \int du_{\parallel} \quad X = \frac{\omega_p^2}{\omega^2} \quad Y = \frac{\omega_c}{\omega}$$

Instead of using Z or F functions, **directly adopt a relativistic-Maxwell distribution function into this model and numerically integrate.** (※Any distributions are available.)

The problem is the calculation cost of 2D integral in velocity space. However, we succeeded **to reduce it to 1D problem along the resonance curve.**

1-dimensional integral along a resonance curve

Schematic image in velocity space

Resonance Curve (RC) satisfies

$$R_n = nY + N_{\parallel} \frac{u_{\parallel}}{c} - \gamma = 0$$

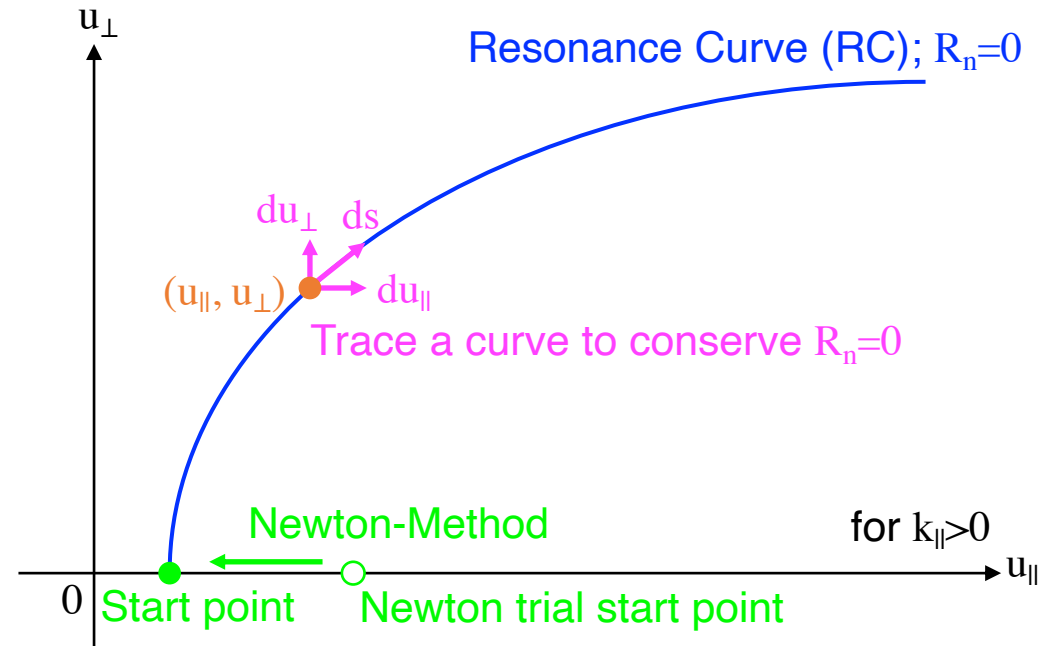
2D integral w/ $\delta(R_n=0)$ can be reduced
1D integral along the RC as,

$$\int du_{\perp} \int du_{\parallel} \delta(R_n) = \int ds$$

We can trace the RC by using [8],

$$\frac{du_{\parallel}}{ds} = -\frac{\partial R_n}{\partial u_{\perp}} = \frac{u_{\perp}}{\gamma c}$$

$$\frac{du_{\perp}}{ds} = \frac{\partial R_n}{\partial u_{\parallel}} = \frac{k_{\parallel}}{\omega} - \frac{u_{\parallel}}{\gamma c}$$



Start point can be found on u_{\parallel} axis by using Newton-Method.

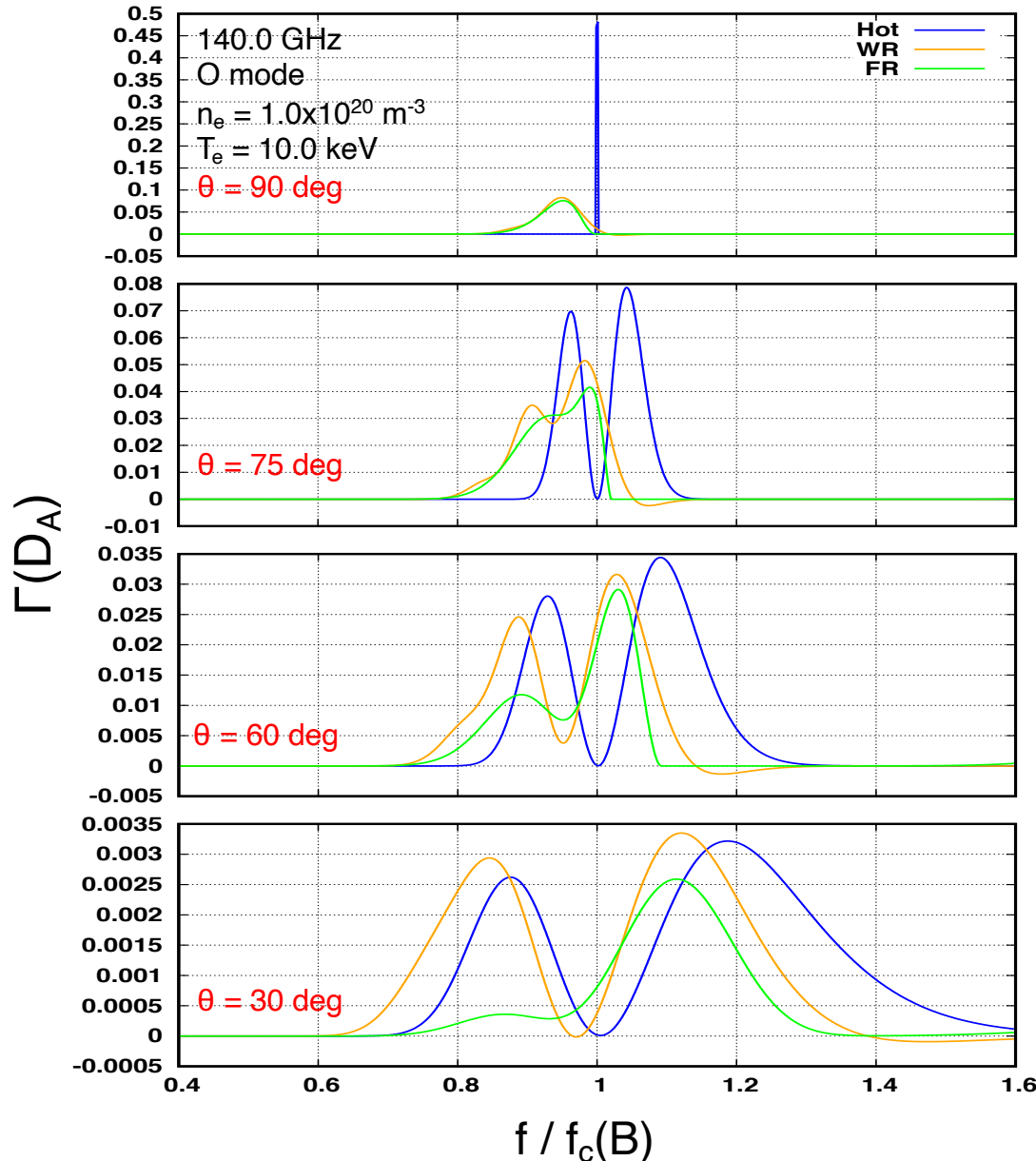
Newton trial start point can be chosen easily from non-relativistic resonance condition,

$$u_{\parallel} = (\omega - n\omega_c)/k_{\parallel}$$

-> Substantial reduction of calculation cost is succeeded by introducing RC trace.

Injection-angle dependence of each dissipation model

Dissipation coefficients Γ w/ $D_{\text{Hot,A}}$, $D_{\text{WR,A}}$, and $D_{\text{FR,A}}$, as functions of f/f_c



All Γ show Doppler broadening, which is significant in parallel-injection.

Γ_{Hot} gets too much narrow and large peak in perp.-injection, while Γ_{WR} & Γ_{FR} are in good agreement.

Γ_{Hot} and Γ_{WR} seems to be over-estimated, for down-shift side of Doppler broadening, especially for parallel-injection.

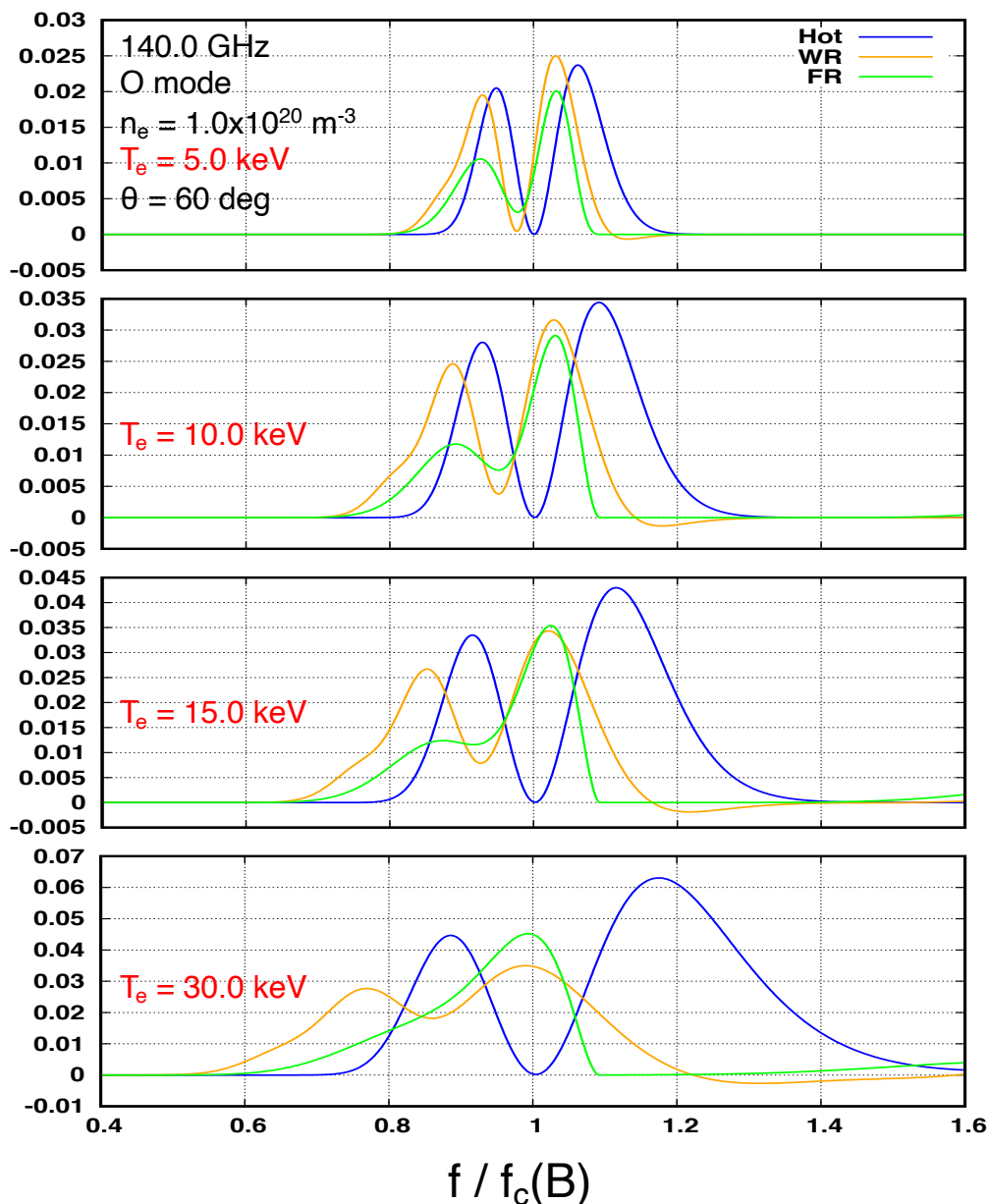
→ $D_{\text{FR,A}}$ is essential for dissipation modeling w/ oblique injection-angle.

Also...

Γ_{WR} has negative values in all angles, which need numerical cutoff in practice.

Temperature dependence of each dissipation model

Dissipation coefficients Γ w/ $D_{\text{Hot,A}}$, $D_{\text{WR,A}}$, and $D_{\text{FR,A}}$, as functions of f/f_c



Γ_{WR} & Γ_{FR} show relativistic down-shift, which become significant in high temp..

Γ_{Hot} and Γ_{WR} seems to be over-estimated, for down-shift side of Doppler broadening, especially for high temp..

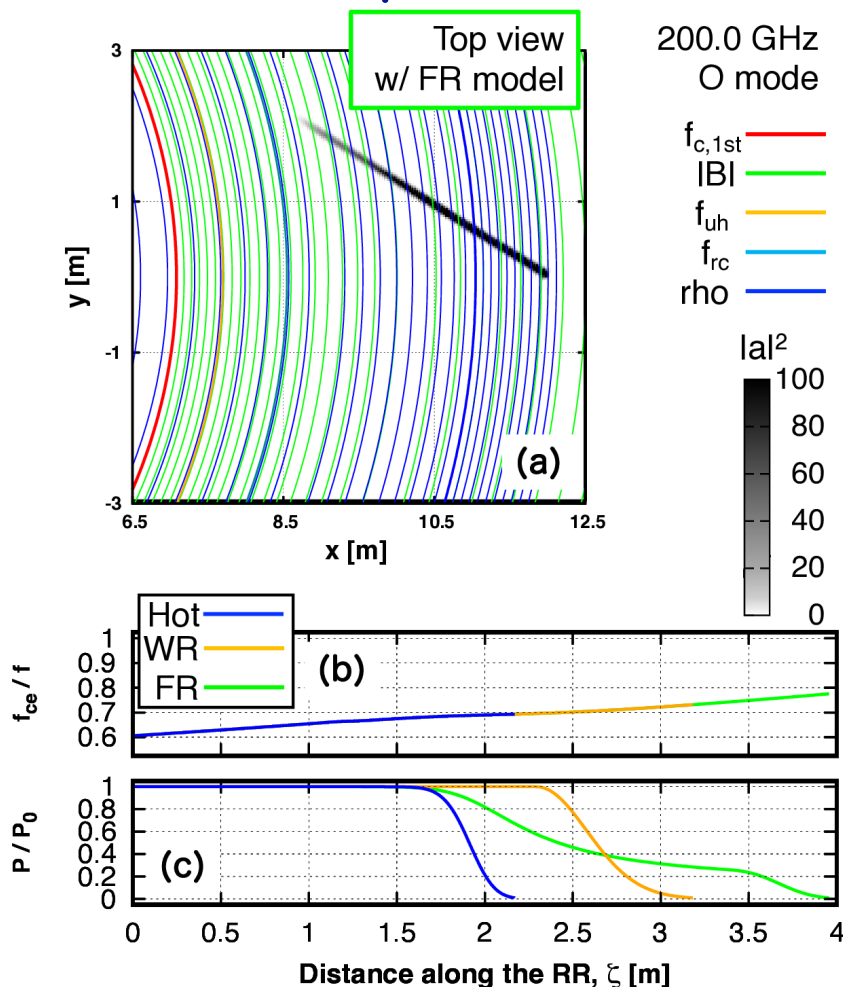
→ $D_{\text{FR,A}}$ is essential for dissipation modeling in high temp. condition.

Also...

Γ_{WR} has negative values in all angles, which need numerical cutoff in practice.

Power absorption w/ fully relativistic D_A in JA-DEMO

Power absorptions simulated by PARADE with Hot, WR, and FR model



Hot : 1st reso.
 WR : 1st reso.
 FR(1.8-3.5 [m]): 2nd reso.
 FR(3.5- [m]): 1st reso.

FR revealed a **contamination of second-order resonance**, which was not found by Hot and WR.

Outside launch is difficult for localized heating.

As a solution, we propose **top launch**.

Example for JA-DEMO, perpendicularly-injected from out-side position.

WR and FR model give different result in obliq. injection.

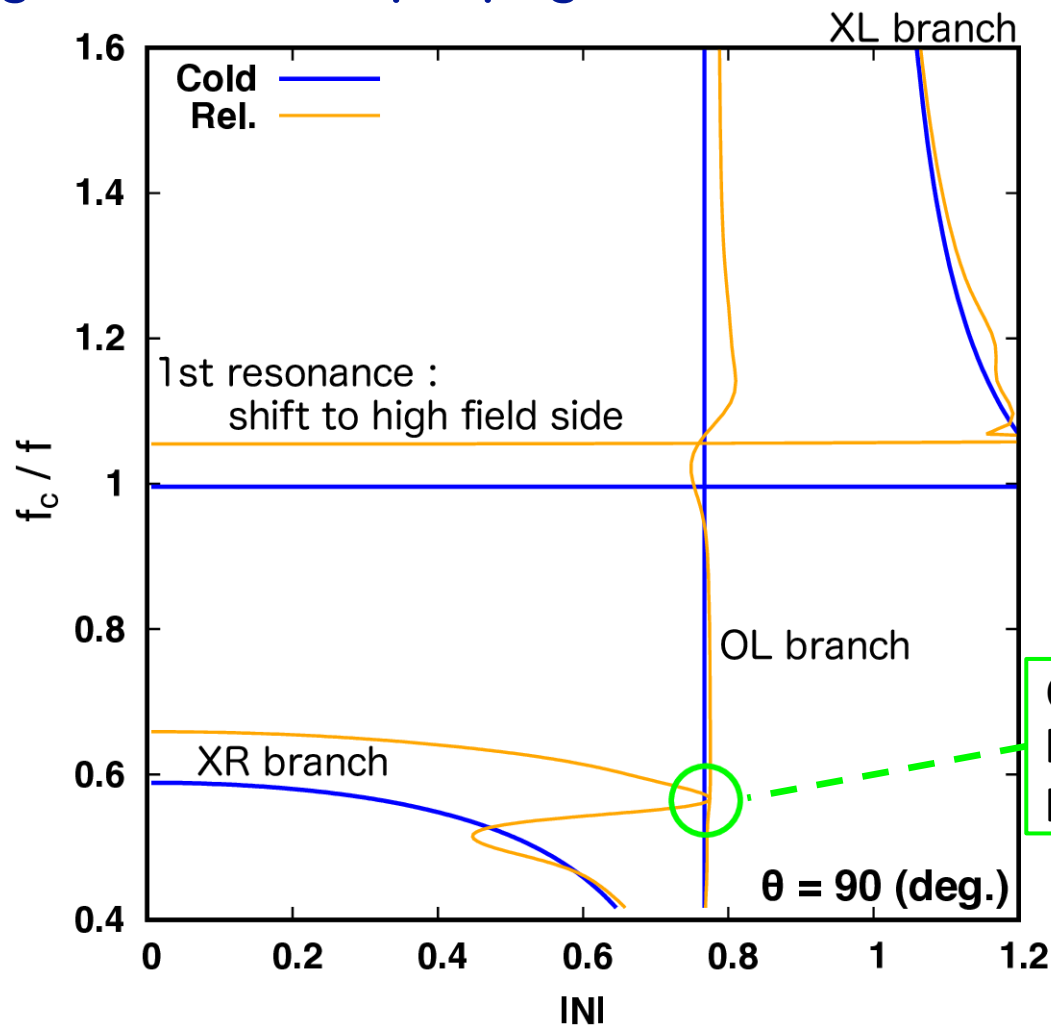
-> **Full-Relativity should be considered in high T_e condition.**

Topic 5 $\langle D_H \rangle$

Weakly relativistic dispersion for Hermitian part : propagation

Weakly relativistic dispersion for Hermitian part

D_H governs wave propagations.



$|D_H| = 0$ for Cold and WR [10].

[10] F. Volpe, Phys. Plasmas (2007)

Freq = 140.0 GHz
 $n_e = 1.0 \times 10^{20} \text{ m}^{-3}$
 $T_e = 15.0 \text{ keV}$

OX mode conversion can occur,
but let us leave this interesting
problem for future work.

OL & XL : WR branches deviate from Cold branches near the 1st resonance.

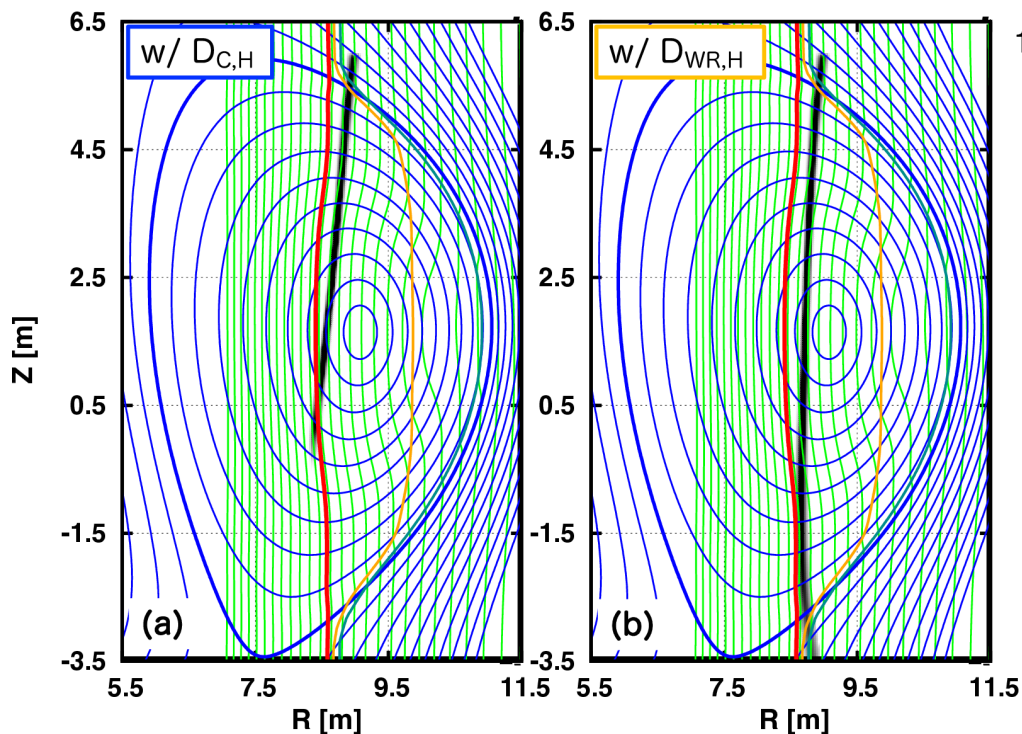
XR : WR branch deviates from Cold branch near the 2nd resonance.

→ $D_{WR,H}$ makes different propagation path from conventional $D_{C,H}$ near resonances.

[8] K. Yanagihara, Nucl. Fusion (2022)

Weak relativity makes additional beam bending

WR D_H makes extra-bending of beam path near the resonance.



165.0 GHz

O mode

$f_{c,1st}$

$|a|$

f_{uh}

f_{rc}

ρ

$|a|^2$

100

80

60

40

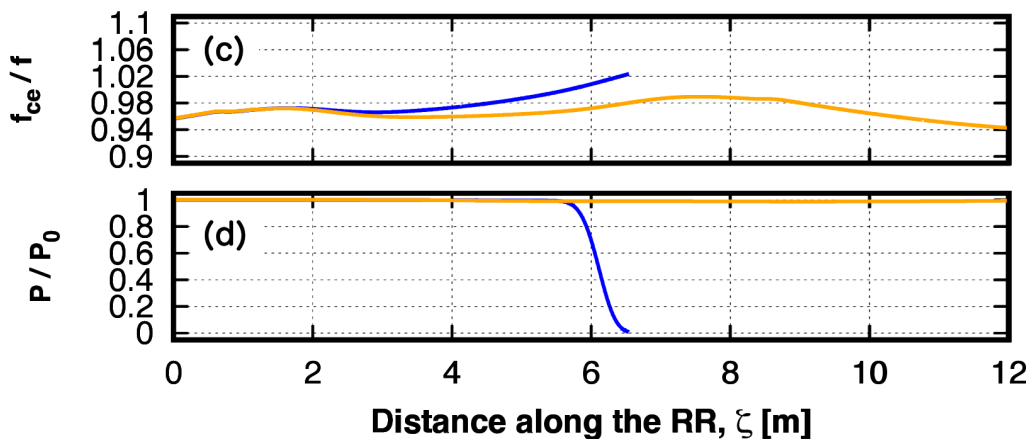
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Simulations using PARADE with Cold and WR D_H .

Propagation path is corrected by installing WR.

In this example, WR trajectory does not reach resonance and does not absorbed at all, while Cold trajectory completely absorbed.



WR

C

If ones believe Cold D_H on JA-DEMO...

Mis-identification of heating position, damage the facing wall with power of 100 MW/m², and reflected stray can also damage the diagnostics.

Summary

- We report today **Application results of Quasioptical code PARADE to ECRH, ECCD, and ECE modeling** with relativistic effects in JT-60SA and JA-DEMO plasmas.
- In order to predict ECRH, ECCD, and ECE in reasonable costs with high accuracy, we introduced 5 topics as follows.
- 1. Quasioptical beam model gives **broadening of power deposition profile**.
- 2. Current drive module based on Adjoint technique to suit for PARADE gives reasonable result.
- 3. EC emission module with quasioptical model gives **qualitatively consistent result but need more detailed validations**.
- 4. Fully relativistic dispersion with 1D integral for D_A gives **different absorption rate**, especially in high T_e condition and large $N_{//}$ condition.
- 5. Weakly relativistic dispersion for D_H gives **variation of a beam propagation path** near the resonance.
- Topics 1-3 will be reported in a new paper with the same (or similar) title as this presentation. Topics 4,5 have been reported in [8].